

ULSTER COUNTY BOARD OF HEALTH

August 10, 2015

AGENDA

CALL TO ORDER

- **OLD BUSINESS**

- a. Approval of the May 2015 minutes

- **NEW BUSINESS**

- a. September Meeting Date

- b. Commissioner's Report:

- Polystyrene Law Update
- Tobacco Retailer's Law Update
- Pet Seller's Law Proposal

- c. Medical Examiner's Report

- d. Patient Services Report

- Public Health Preparedness Program
 - POD Exercise (6/29/15)
 - ServNY Deliverable (2015-2016)
 - ChemPack CDC/NYSDOH
 - Ulster County Animal Response Team (UCART) Drill 7/14/15
- WIC Award RFA (6/17/15) 5yr. Contact

MEETING CONCLUSION

Ulster County Board of Health
August 10, 2015

Members PRESENT: Mary Ann Hildebrandt, MPA, Board Member
Walter Woodley, MD, Board Member
Peter Graham, ESQ, Board Member
Dominique Delma, MD, Secretary
Marc Tack, DO, Chairman

DOH/DMH PRESENT: Carol Smith, MD, MPH, Commissioner of Health
Nereida Veytia, Deputy/Patient Services Director

GUESTS: Lee Cane, League of Women Voters - Mid Hudson Region

ABSENT: None

EXCUSED: Douglas Heller, MD, Medical Examiner
Shelley Mertens, Environmental Health Director
Amy McCracken, Deputy Commissioner of MH
Anne Cardinale, RN GCNS-BC, Board Member
Elizabeth Kelly, RN, Board Member

I. Approval of Minutes: A motion to approve of the May 2015 minutes was made by Ms. Hildebrandt, seconded by Dr. Woodley and unanimously approved.

II. Agency Reports:

- a. September Meeting Date: The September Board of Health meeting is scheduled for September 14th, however this is a Jewish holiday. The Board agreed that the meeting should be moved. All Board members in attendance agreed that it could be moved to September 21st so long as those Board members not in attendance approve. An email query will be sent to them.
- b. Commissioner's Report: Dr. Smith reported on the following:
 - **Polystyrene Law Update:** Notification has been sent to all permitted facilities, along with a copy of the law and educational information. Notifications were also sent to NYS Agriculture and Markets list of permitted facilities. However, many of the notifications are coming back undeliverable. UCDOH will contact the State and revisit notifying them. To date, UCDOH has noticed that many facilities are conforming with minimal complaints.
 - **Tobacco Retailer Law Update:** Ulster County Legislature passed the regulation (see attached). Tobacco retailers must obtain a permit from UCDOH. No new license will be issued within 1,000 feet of a school. Currently working with the County Attorney's Office regarding the establishment of policy and procedures and violation management.
 - **Pet Seller's/Animal Abuse Registry Law Proposals:** A public hearing regarding these proposals (see attached) is scheduled for Wednesday, August 12th. Local Law Number 11 of 2015 will establish

and institute an animal abuse registry to identify individuals who abuse animals. Local Law Number 12 of 2015 would require Pet Sellers to obtain a permit from the Commissioner of Health. The Commissioner has the authority to assign a Designee. In this case the SPCA would be considered the entity to permit and oversee the registry. The expectations of the Designee are clearly defined in the Law. The Board expressed concerns regarding the qualifications of the SPCA staff to complete the necessary inspections. These Laws have not yet been voted on.

b. Medical Examiner's Report: The Medical Examiner's Report was distributed (see attached.)

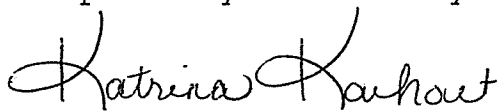
c. Patient Services Report:

- **Point Of Dispensing (POD) Drill**: This drill was conducted on June 29th and used to test dispensing medication in an event of a bio terrorist attack. The State set a goal of dispensing to 742 individuals within 2 hours. UCDOH was able to dispense mock medication to 368 individuals. There were 141 volunteers who participated and 57 Health and Mental Health staff worked the event. After action was completed with the State and staff. The successes noted by the State were the flow of the facility set-up, the engagement of community partners, the collaboration with agencies (Sheriff, EMS, 911), education provided, and the use of Mental Health Psychological First Aid. There were some software issues with registration and the number of volunteers should be increased. Overall, the State was pleased with the outcome.
- **ServNY Deliverable**: The Preparedness deliverable for 2015-2016 to test the deploying of volunteers to assist instances of emergencies such as a bio terrorist attack. UCDOH is currently reaching out to various groups to populate a database of individuals willing to volunteer should the need arise. To date there are 19 individuals in the database. The ServNY request for volunteer flyer will be distributed at the next Board meeting.
- **ChemPack CDC/NYSDOH**: Ulster County has been identified as a ChemPack site. This is stationed at the Health Alliance Pharmacy at the Broadway campus. The CDC completed an inspection on August 8th and has approved the opening and use of the site.
- **Ulster County Animal Response Team (UCART) Drill**: Ulster County Preparedness Program staff was invited to participate in the UCART drill on July 14th. This team has received funding from Ulster County Emergency Management to respond to animal issues that arise in the midst of emergency situations such as natural disasters. It is a volunteer team who currently is in the process of learning the Incident Command System. The Team would like more Vet Techs participation to learn about animal disposition.
- **WIC Award RFA**: UCDOH was awarded another 5 year contract to provide WIC services to the Ulster community. The County Executive's Office has approved the accepting of the award.

Meeting Adjournment: A motion was made by Ms. Hildebrandt to adjourn the meeting, motion was seconded by Dr. Woodley and unanimously approved.

Next Meeting: The next meeting is scheduled for September 21, 2015.

Respectfully submitted by:

A handwritten signature in cursive script that reads "Katrina Kouhout". The signature is written in black ink and is positioned above the typed name.

Katrina Kouhout

Secretary to the Commissioner of Health and Mental Health

On behalf of UC Board of Health

Local Law Number 6 Of 2015

County Of Ulster

A Local Law Establishing A Tobacco Retail License and Reducing Youth Exposure to Tobacco

BE IT ENACTED, by the County Legislature of the County of Ulster, New York, as follows:

SECTION 1. TITLE.

This Local Law shall be known as the “Ulster County Tobacco Licensing and Reduced Youth Exposure to Tobacco Law.”

SECTION 2. LEGISLATIVE INTENT.

The Ulster County Legislature hereby finds and determines that Ulster County has a substantial interest in reducing the number of individuals of all ages who use cigarettes and other tobacco products, and a particular interest in protecting adolescents from tobacco dependence and the illnesses and premature death associated with tobacco use.

Tobacco companies sell products that are addictive and inherently dangerous, causing cancer, heart disease, and other serious illnesses.

An overwhelming majority of Americans who use tobacco products begin using such products while they are adolescents and become addicted before reaching the age of eighteen (18). The Surgeon General of the United States has concluded that if young people don't start using tobacco by age 26, they almost certainly will never start.

Studies have found higher rates of smoking at schools with more tobacco retailers within walking distance. Researchers suggest that limiting the proximity of tobacco outlets to schools may be an effective strategy to reduce youth smoking rates.

Although it is unlawful to sell tobacco products to minors, 5.9% of New York retailers surveyed do sell to minors (New York State Department of Health, 2010 Youth Access Tobacco Enforcement Program Annual report); and 20% of underage smokers in New York report that they usually purchase their cigarettes from a retail store (New York Youth Tobacco Survey 2008).

A local licensing system for tobacco retailers will provide a local enforcement mechanism to allow Ulster County to monitor and increase Tobacco Retailers' compliance with state and federal laws that prohibit sales of tobacco products to

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minors by requiring compliance with these laws as a condition for obtaining and maintaining a local Tobacco Retail License. A local licensing system for Tobacco Retailers is consistent with the County's power pursuant to General Municipal Law to protect and promote the health of its youth. Moreover, the Family Smoking Prevention and Control Act of 2009 provides local governments with the freedom to implement tobacco control policy options, including tobacco licensing and reducing the number of tobacco retailers in heavily youth populated areas.

A recent survey by the Baruch College School of Public Affairs found that 78% of Ulster County residents surveyed opposed tobacco sales at stores near schools and 61% percent favored an outright ban on the sale of tobacco products nears schools.

Therefore, it is the intent of Ulster County to reduce youth smoking rates by implementing effective measures through this Local Law to license all tobacco retail outlets, restrict the establishment of new tobacco retail outlets near schools, provide locally enforceable penalties, and increase education and awareness of the harm associated with tobacco use in youth populations.

SECTION 3. DEFINITIONS.

ADMINISTRATIVE HEARING means a hearing administered by the Ulster County Department of Health as allowed by New York Public Health Law Section 309 and according to regulations adopted by the Ulster County Department of Health.

APPLICANT means an individual, partnership, limited liability company, corporation, or other business entity seeking an Ulster County Tobacco Retail License.

DEPARTMENT means the Ulster County Department of Health.

EXISTING TOBACCO RETAILER means any Person who is lawfully engaged in the retail sale of Tobacco Products and possesses a valid certificate of registration as a tobacco retail dealer from the New York State Department of Taxation and Finance on the effective enforcement date of this Article.

LEGAL AGE means the minimum age at which individuals are permitted to legally purchase tobacco products.

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NEW TOBACCO RETAIL LICENSE means any Tobacco Retail License that is not a Renewed Tobacco Retail License.

PERSON means any natural person, company, corporation, firm, partnership, business organization, or other legal entity.

RENEWED TOBACCO RETAIL LICENSE means a Tobacco Retail License issued to an Applicant for the same location at which the Applicant previously possessed a valid Tobacco Retail License during the previous year.

SCHOOL means a public or private kindergarten, elementary, middle, junior high, or high school; or a Board of Cooperative Educational Services Center.

TOBACCO PRODUCT means any manufactured product containing tobacco or nicotine, including but not limited to cigarettes, cigars, pipe tobacco, snuff, chewing tobacco, dipping tobacco, bidis, snus, dissolvable tobacco products and electronic cigarette cartridges.

TOBACCO RETAIL LICENSE means a license issued by the Ulster County Department of Health to a Person engaged in the sale of Tobacco Products in Ulster County.

TOBACCO RETAILER means any Person who sells or offers for sale any Tobacco Product, or any employee of such a person.

SECTION 4. TOBACCO RETAIL LICENSE.

- (A) Effective January 1, 2016, no Person shall sell, offer for sale, or permit the sale of Tobacco Products to consumers in Ulster County, without possessing a valid Tobacco Retail License issued by the Ulster County Department of Health. A Tobacco Retail License is not required for a wholesale dealer who sells Tobacco Products to retail dealers for the purpose of resale only and does not sell any Tobacco Products directly to consumers.

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- (B) All Tobacco Retail Licenses issued pursuant to this Local Law are nontransferable and non-assignable and are valid only for the Applicant and the specific address indicated on the Tobacco Retail License. A separate Tobacco Retail License is required for each address at which Tobacco Products are sold or offered for sale.
- (C) All Tobacco Retail Licenses issued pursuant to this Local Law are valid for no more than one (1) year and expire on the next occurring December 31st following the effective date of the Tobacco Retail License. As set forth in Section 9, a Tobacco Retail License may be revoked by the Department prior to its expiration date for cause.
- (D) No Tobacco Retail Licenses shall be issued to an Applicant who does not have a fixed, permanent retail location.
- (E) With the exception of the first year subsequent to this Local Law's effective date, no New Tobacco Retail License shall be issued to any establishment located within 1000 feet of the nearest point of the property line of a School. Renewed Tobacco Retail License(s) shall be issued to Person(s) located within 1000 feet of a School which have previously held a Tobacco Retail License. A new Applicant who purchases a business located within 1000 feet of a School may apply for a New Tobacco Retail License only if the previous owner held a valid and current Tobacco Retail License and the operations of the business are staying at the same location and within the same scope.
- (F) Existing Tobacco Retailers shall submit applications for a New Tobacco Retail License to the Department in writing upon a form provided by the Department at least thirty (30) days prior to January 1, 2016. Thereafter, all applications for a Renewed Tobacco Retail License shall be submitted to the Department in writing upon a form provided by the Department at least thirty (30) days prior to the expiration of the current Tobacco Retail License.
- (G) Tobacco Retailers not in existence prior to January 1, 2016 shall submit an application for a New Tobacco Retail License at least thirty (30) days prior to opening and may not sell tobacco products until such a license is issued.

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- (H) The Department may require all forms and the documentation therefor to be signed and/or verified by the Applicant or an authorized agent thereof.
- (I) Within thirty (30) days of receiving a completed application and supporting documentation, if any, the Commissioner must either grant or deny the applicant's request for a Tobacco Retail License and must notify the applicant of his or her determination. If the applicant is an Existing Tobacco Retailer, the retailer may continue to engage in the sale of Tobacco Products until such time as a determination is made by the Commissioner and the retailer receives notification of such determination. If the applicant is applying for a New Tobacco Retail License and was not in existence prior to January 1, 2016, the applicant may not begin selling Tobacco Products until he or she receives notification of the Commissioner's determination.

SECTION 5. NOTICE.

- (A) The Commissioner shall, at least forty-five (45) days prior to the effective date of this Local Law, send to each Existing Tobacco Retailer in Ulster County a Tobacco Retail License application and a copy of this Local Law. The Commissioner shall, as part of the application process, identify and notify any Existing Tobacco Retailer in Ulster County that is located within 1000 feet from the nearest point of the property line of a School.
- (B) Any Existing Tobacco Retailer identified as being located within 1000 feet of the nearest point of the property line of a School, may dispute such designation. Any such dispute must be made in writing to the Commissioner within fifteen (15) days of receipt of the notice provided for in Section 5(A) above and include any and all evidence the retailer relies on to support its claim that its business is not located within 1000 feet of the nearest point of the property line of a School. The Commissioner shall consider such evidence as part of the application. The Commissioner shall have final authority to determine whether the retailer is located within 1000 feet of a School.

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SECTION 6. ISSUANCE OF LICENSES.

- (A) Upon the receipt of a fully completed application for a New or Renewed Tobacco Retail License, and any additional documents as may be required by the Department, the Department shall inspect the location at which tobacco sales are to be permitted. The Department may also require the Applicant to provide additional information that is reasonably related to the determination of whether a license may issue.
- (B) The Department may refuse to issue a Tobacco Retail License to an Applicant if it finds that one or more of the following bases for denial exists:
- (1) The information presented in the application is incomplete, inaccurate, false, or misleading;
 - (2) The Applicant does not possess a valid certificate of registration as a tobacco retail dealer from the New York State Department of Taxation and Finance;
 - (3) The application seeks a New Tobacco Retail License at a location for which this Local Law prohibits the issuance of a New Tobacco Retail License;
 - (4) A Tobacco Retail License issued under this Local Law was previously revoked;
 - (5) The Applicant has been found by a court of law or administrative body to have violated any federal, state, or local laws pertaining to (a) trafficking in contraband Tobacco Products, (b) the payment or collection of taxes on Tobacco Products, (c) the display of Tobacco Products, or (d) the sale of Tobacco Products;
 - (6) The Applicant has not paid to the Department outstanding fees, fines, penalties, or other charges owed to Ulster County; or

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- (7) The Department determines in accordance with written criteria established by the Department to further the purposes of this Local Law, that a Tobacco Retail License should not be issued to the Applicant.

SECTION 7. TRAINING AND EDUCATION

The Department shall create appropriate training materials and programs that shall be made available to any Existing Tobacco Retailer.

SECTION 8. DISPLAY OF LICENSE REQUIRED.

- (A) Any Tobacco Retail License issued pursuant to this Local Law shall be displayed prominently at the location where the Tobacco Products are sold so that it is readily visible to customers.
- (B) Selling, offering for sale, or permitting the sale of any Tobacco Product without a valid Tobacco Retail License displayed in accordance with Section 8(A) constitutes a violation of this Local Law.

SECTION 9. SUSPENSION AND REVOCATION OF LICENSES.

- (A) Any Existing Tobacco Retailer who is found to be in violation of the terms and conditions of this Local Law or for violation of any federal, state, or local law pertaining to (a) the display of Tobacco Products or of health warnings pertaining to Tobacco Products, or (b) the sale of Tobacco Products, shall have their Tobacco Retail License suspended for up to three (3) months for a first offense; up to six (6) months for a second offense within a two (2) year period; or revoked for a third offense within a two (2) year period, after notice and an opportunity to be heard at an Administrative Hearing. Any such violator may also be required to complete a training program designated by the Department prior to reinstatement of such license.

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SECTION 10. VIOLATIONS AND ENFORCEMENT.

- (A) The Department or its authorized designee(s) shall enforce this Local Law's provisions. The Department may conduct periodic inspections in order to ensure compliance with this Local law.
- (B) In addition to the penalties provided for in Section 9, any Person found to be in violation of this Local Law, after notice and an opportunity to be heard at an Administrative Hearing, shall be liable for a civil penalty of not more than \$250 for the first violation, not more than \$500 for the second violation within a two (2) year period, and not more than \$1,000 for the third and each subsequent violation within a two (2) year period.

SECTION 11. ADMINISTRATION.

- (A) The Commissioner of the Ulster County Health Department, in consultation with the Ulster County Board of Health, is hereby authorized to develop rules, regulations, and procedures necessary to implement this Local Law.
- (B) The Commissioner shall have the authority to waive the distance requirement of Section 4(E) for any new Applicant who did not previously possess a valid Tobacco Retail License, only if it can be shown by clear and convincing evidence by the Applicant that a waiver of such distance requirement will not compromise the aforesated purpose and the general intent of this Local Law.

SECTION 12. SEVERABILITY.

If any clause, sentence, paragraph, subdivision, section, or part of this regulation or the application thereof to any person, individual, corporation, firm, partnership, entity, or circumstance shall be adjudged by any court of competent jurisdiction to be invalid or unconstitutional, such order of judgment shall not effect, impair, or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, paragraph, subdivision, section, or part of this regulation, or its application to the person, individual, corporation, firm, partnership, entity, or circumstance directly involved in the controversy in which such order of judgment shall be rendered.

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SECTION 13. EFFECTIVE DATE.

The effective date of this Local Law shall be on January 1, 2016.

Adopted by the County Legislature: April 21, 2015

Approved by the County Executive: May 14, 2015

Filed with New York State Department of State: May 21, 2015

Medical Examiner's Report

Year	Call Received		Site Visits		Autopsies		Suicides		Motor Vehicle		Homicide	
	2014	2015	2014	2015	2014	2015	2014	2015	2014	2015	2014	2015
January	29	35	0	1	7	8	1	0	0	0	0	0
February	28	34	5	3	11	8	1	0	0	0	1	0
March	29	34	6	7	7	11	0	2	1	0	0	0
April	24	27	2	1	8	5	2	2	1	0	0	0
May	26	38	4	7	7	17	2	2	0	0	0	0
June	21	35	3	2	9	15	2	2	0	1	0	0
July	21	19	1	N/A	6	9	3	0	0	N/A	1	0
August	27		3		6		0		2		0	
September	23		4		7		3		0		0	
October	31		3		9		2		0		0	
November	31		5		9		5		0		0	
December	29		2		7		1		1		0	
Total	319	222	38	21	93	73	22	11	5	1	2	0

Proposed Local Law Number 11 Of 2015

County Of Ulster

A Local Law Establishing The Ulster County Animal Abuse Registry

BE IT ENACTED, by the County Legislature of the County of Ulster, New York, as follows:

SECTION 1. LEGISLATIVE INTENT.

The Ulster County Legislature hereby finds and determines that animal cruelty is a serious problem, resulting in the abuse and neglect of thousands of animals each year in the United States.

The Ulster County Legislature also finds and determines that while New York State has criminalized the cruel treatment of animals, animal abuse continues to occur in Ulster County and throughout the state.

The Ulster County Legislature also finds that people who have abused animals in the past are likely to do so in the future and studies show that there is a near 100% recidivism rate for certain types of abuse such as animal hoarding.¹

The Ulster County Legislature finds that, statistically, individuals who abuse animals are more likely to commit violent acts against humans.²

The Ulster County Legislature also determines that a strong correlation has been established linking individuals who abuse animals with incidents of domestic violence.³

The Ulster County Legislature further finds that it is in the best interests of Ulster County residents to establish an animal abuse registry to identify individuals who abuse animals and who, therefore, may be more likely to commit other acts of violence, and to prevent these individuals from adopting, purchasing, or otherwise obtaining animals by adoption, sale, or other means.

Therefore, the purpose of this article is to establish an online registry for individuals residing in Ulster County who are convicted of animal abuse and neglect crimes.

¹ The Humane Society of the United States, retrieved from www.humanesociety.org/issues/abuse_neglect/facts/hoarding.html.

² One study found that 46% of convicted murderers surveyed admitted committing acts of animal torture. Cohen, W. (1996). Congressional Register, 145 (14), Oct. 3.

³ "Understanding the Link Between Violence to Animals and People: A Guidebook for Criminal Justice Professionals", Allie Phillips, J.D., ASPCA/National District Attorneys Association, June 2014.

Proposed Local Law Number 11 Of 2015

County Of Ulster

A Local Law Establishing The Ulster County Animal Abuse Registry

SECTION 2. DEFINITIONS.

As used in this article, the following terms shall have the meanings indicated:

- A. **“Animal Abuse Crime”** shall mean the commission of the following enumerated crimes against an animal:
- a. Animal fighting, as defined in the New York State Agriculture and Markets Law (hereinafter “AML”) § 351;
 - b. Overdriving, torturing, and injuring animals; failure to provide proper sustenance, as defined in AML § 353;
 - c. Aggravated cruelty to animals, as defined in AML § 353-a;
 - d. Electrocution of fur bearing animals, as defined in AML § 353-C;
 - e. Abandonment of animals, as defined in AML § 355;
 - f. Failure to provide proper food and drink to an impounded animal, as defined in AML § 356;
 - g. Poisoning or attempting to poison animals, as defined in AML § 360;
 - h. Interference with or injury to certain domestic animals, as defined in AML § 361;
 - i. Clipping or cutting the ears of dogs, as defined in AML § 365;
 - j. Companion animal stealing, as defined in AML § 366 (3);
 - k. Removing, seizing or transporting dogs for research purposes, as defined in AML § 366-a;
 - l. Operating upon tails of horses, in violation of AML § 368;
 - m. Sexual misconduct with an animal, as defined by New York State Penal Law (hereinafter “PL”) § 130.20(3);
 - n. Harming an animal trained to aid a person with a disability, as defined by PL § 195.11;
 - o. Killing or injuring a police animal, as defined by PL § 195.12;
 - p. Harming a service animal in the second degree, as defined by PL § 242.10
 - q. Harming a service animal in the first degree, as defined by PL § 242.15.
- B. **“Convicted of”** shall mean an adjudication of guilty by any court of competent jurisdiction in Ulster County, whether upon a verdict of guilty or a plea of guilty.

Proposed Local Law Number 11 Of 2015

County Of Ulster

A Local Law Establishing The Ulster County Animal Abuse Registry

- C. “**Ulster County Animal Abuse Registry**” shall mean the on-line registry established by this Local Law for registering any person living in Ulster County convicted of an Animal Abuse Crime.

SECTION 3. CREATION OF REGISTRY.

A registry is hereby created which shall contain the names and residence information of individuals living in Ulster County who are convicted of an Animal Abuse Crime. The Ulster County District Attorney is hereby authorized and empowered to contract with qualified organizations dedicated to animal protection, rescue and/or preventing animal abuse to establish and maintain a publicly accessible registry for such individuals residing in Ulster County. The selected contractor shall receive all fees associated with the registration as compensation for its maintenance and administration of the registry.

SECTION 4. REGISTRATION REQUIREMENTS.

- A. All persons 18 years of age or older who reside in Ulster County and are convicted of an Animal Abuse Crime on or after the effective date of this article must register with the Ulster County Animal Abuse Registry at the office of the Ulster County District Attorney, or the office of his or her authorized agent, within ten (10) days following their release from incarceration or, if not incarcerated, from the date of conviction.
- B. When a person is convicted of an Animal Abuse Crime, the prosecuting agency shall forward to the Ulster County District Attorney’s Office, or his or her authorized agent, the name and address of the convicted person along with the name of the Animal Abuse Crime the person was convicted of, thereby notifying the Ulster County District Attorney, or his or her authorized agent, that the person is required to register with the Ulster County Animal Abuse Registry. The Ulster County District Attorney, or his or her authorized agent, shall promptly notify all persons convicted of an Animal Abuse Crime in Ulster County that they must register with the Ulster County Animal Abuse Registry within ten (10) days following their release from incarceration or, if not incarcerated, from the date of conviction.

Proposed Local Law Number 11 Of 2015

County Of Ulster

A Local Law Establishing The Ulster County Animal Abuse Registry

- C. Each person required to register with the Ulster County Animal Abuse Registry shall submit:
- (1) His or her name;
 - (2) Any aliases he or she is known under;
 - (3) His or her residential address; and
 - (4) A photograph of his or her head and shoulders from the front.
- D. Each person registered with the Ulster County Animal Abuse Registry shall update his or her registration information within ten (10) days of moving from one residential address to another or, if his or her residential address does not change, annually from the date of his or her first registration.
- E. Each person required to register with the Ulster County Animal Abuse Registry shall remain on the Registry for fifteen (15) years following his or her release from incarceration or the date of conviction, whichever is later. Registered persons who are convicted of subsequent Animal Abuse Crimes shall remain on the registry for life following their second conviction.
- F. Upon notification to the Ulster County District Attorney's Office, or his or her authorized agent, of a successful appeal of a conviction of an Animal Abuse Crime by an individual that has been required to register pursuant to this Local Law, the registration information for that individual shall be removed from the Ulster County Animal Abuse Registry within five (5) days following the notification.

SECTION 5. SHARING OF REGISTRATION INFORMATION.

- A. The Ulster County District Attorney, or his or her authorized agent, shall make the Animal Abuse Registry available to the Ulster County Child Protective Services and the Department of Social Services, and is authorized to make the Registry available to any state, regional, or national government-operated registry of animal abusers for the purpose of sharing information.
- B. The Ulster County District Attorney, or his or her authorized agent, may accept files from any state, regional, or national registry of animal abusers.

Proposed Local Law Number 11 Of 2015

County Of Ulster

A Local Law Establishing The Ulster County Animal Abuse Registry

C. The Ulster County District Attorney, or his or her authorized agent, is authorized to make the registry information available within a reasonable amount of time to any animal registry.

SECTION 6. FEES.

Every person required to register with the Animal Abuse Registry shall pay a fee of \$100.00 to the Ulster County District Attorney, or his or her authorized agent, at the time of registration. These funds will be used to pay the administrative costs of maintaining the registry.

SECTION 7. RULES AND REGULATIONS.

The Ulster County District Attorney is hereby authorized and empowered to promulgate such rules and regulations as are necessary to implement this article.

SECTION 8. PENALTIES FOR OFFENSES.

Any Animal Abuse Offender required to register with the Ulster County Animal Abuse Registry who fails to so register shall be guilty of a violation punishable by a fine of not less than \$100.00 dollars and not more than \$250.00 dollars; or imprisonment for not more than fifteen (15) days; or both.

SECTION 9. APPLICABILITY.

This article shall apply to all persons convicted of an Animal Abuse Crime on or after the effective date of this article.

Proposed Local Law Number 11 Of 2015

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A Local Law Establishing The Ulster County Animal Abuse Registry

SECTION 10. SEVERABILITY.

If any clause, sentence, paragraph, section, subdivision or other part of this Local Law or its applications shall be adjudged by a Court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair, or otherwise invalidate the remainder of this Local Law which shall remain in full force and effect except as limited by such order or judgment

SECTION 11. EFFECTIVE DATE.

This article shall take effect on the 90th day immediately subsequent to filing in the Office of the Secretary of State.

Proposed Local Law Number 12 Of 2015

County Of Ulster

A Local Law of the County of Ulster for the Regulation of Pet Sellers

BE IT ENACTED, by the County Legislature of the County of Ulster, New York, as follows:

SECTION 1. LEGISLATIVE INTENT.

This Legislature hereby finds and determines that pets, especially dogs and cats, are a part of many families throughout Ulster County. This Legislature further finds that Pet Sellers located throughout Ulster County receive dogs and/or cats from breeders or brokers for the primary purpose of selling or trading these animals to individuals and families as pets. This Legislature further finds that dog and cat breeders that provide these animals to Pet Sellers vary in their treatment of them and in the manner in which they breed new litters, and that some operate substandard commercial facilities that expose dogs and cats to inhumane and unsafe living conditions. This Legislature further finds that regulation of businesses selling dogs and cats is in the public interest because residents of Ulster County invest so much financially and emotionally in their companion animals. This Legislature further finds that individuals and families who wish to purchase dogs and/or cats from a Pet Seller located within Ulster County would benefit from more stringent Ulster County regulation of Pet Sellers, as the enactment of this Local Law would ensure that their future cat or dog was maintained in a clean, safe, and humane environment prior to purchase.

SECTION 2. DEFINITIONS.

As used in this article, the following terms shall have the meanings indicated:

“Animal” means a dog or cat.

“Person” means any individual, corporation, partnership, association, municipality or other legal entity.

“Consumer” means any individual purchasing an animal from a Pet Seller. A Pet Seller shall not be considered a Consumer.

“Pet Seller” means any person in Ulster County who engages in the sale or offering for sale of more than nine (9) dogs or cats per year or more than one (1) litter of dogs or cats per year to the public for profit. This definition includes breeders who sell or

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offer to sell dogs or cats to the public for profit provided that a breeder who sells or offers to sell for profit fewer than nine (9) dogs or cats per year that are born and raised on the breeder's residential premises shall not be considered a Pet Seller as a result of selling or offering for sale such dogs or cats. This definition shall also not include duly incorporated humane societies or animal protective associations dedicated to the care of unwanted animals which make such animals available for adoption, whether or not a fee is charged for such adoption.

“Dog Dealer” means any person who:

Sells dogs belonging to such person that he or she has not bred on his or her own premises to a Pet Seller in Ulster County for resale to another, or;

Transfers dogs belonging to another to a Pet Seller in Ulster County for any type of consideration, fee, commission or percentage of sales price.

“Dog Source Breeder” means any person who sells dogs he or she has bred on his or her own premises for resale by a Dog Dealer or Pet Seller in Ulster County.

“Primary enclosure” means a structure that restricts an animal's ability to move in a limited amount of space, most commonly a cage, kennel, room or other enclosed compartment.

“Housing facility” means a structure that provides animals with shelter, protection from the elements and protection from extremes of temperature. A housing facility may contain primary enclosures as defined in this section.

“Department” means the Ulster County Department of Health.

“Commissioner” means the Commissioner of the Ulster County Department of Health.

“Non-elective surgical procedure” means a surgical procedure that is necessary to preserve or restore the health of an animal, to prevent an animal from experiencing pain or discomfort, or to correct a condition that would interfere with an animal's ability to walk, run, jump or otherwise function in a normal manner.

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“Clinically ill” means an illness that is apparent to a veterinarian based on observation, examination or testing of an animal or upon review of the medical records relating to the animal.

SECTION 3. PET SELLERS – PERMIT REQUIRED.

1. It is unlawful for any Pet Seller to display, offer for sale, sell, barter or exchange for nominal consideration, any dog or cat within Ulster County without first obtaining a permit from the Commissioner.
2. The Commissioner shall issue permits to Pet Sellers only upon proof that the animals displayed, offered for sale or sold by such sellers are raised and maintained in a safe and healthy manner.
3. For purposes of this Local Law, a dog or cat is raised and maintained in a safe and healthy manner when:
 - (a) The dog or cat is kept by a Pet Seller in compliance with the minimum standards of care required by section 8 of this Local Law, and
 - (b) (for dogs only) The dog was obtained from a Dog Source Breeder who keeps dogs in compliance with the minimum standards of care required by section 12 of this Local Law, and
 - (c) Compliance with paragraph a of this subdivision shall be established through inspection by the Commissioner in accordance with section 7 of this Local Law and compliance with paragraph b of this subdivision shall be established by the certification required by section 11 of this Local Law.

SECTION 4. PET SELLER PERMITS.

1. No person shall operate as a Pet Seller in Ulster County unless such person holds a permit issued by the Commissioner. A Pet Seller in operation as a Pet Seller on or before the effective date of this section, who has filed an application for an initial permit under this Local Law shall be authorized to operate without such permit until the Commissioner grants, or after notice and an opportunity to be heard, declines to grant such permit. Each application for a permit shall be made on a form supplied by the Department and shall contain such information as may be required by the

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Department. Renewal applications shall be submitted to the Commissioner at least thirty (30) days prior to the commencement of the next permit year.

2. The Commissioner may delegate his or her authority pursuant to this section to issue Pet Seller permits to a not for profit animal protective organization duly incorporated in the State of New York. Such delegation shall be made pursuant to an agreement entered into by the County and such corporation.
3. Proof to the satisfaction of the Commissioner of compliance with the requirements of this Local Law and with other applicable provisions of this Local Law shall precede issuance of a Pet Seller permit.
4. Upon validation by the Commissioner or the duly incorporated animal welfare organization authorized under this section to issue Pet Seller permits, the application shall become the permit of the Pet Seller.
5. The Commissioner shall provide a copy of the permit to the Pet Seller. The Commissioner shall retain a copy of the permit. If the Commissioner has delegated the permitting requirement to a duly incorporated animal welfare organization, that organization shall provide a copy of the permit to the Pet Seller and a copy to the Commissioner. Ulster County shall retain a copy of the permit in its own records.
6. No Pet Seller shall publish or advertise the sale or availability of any dog or cat unless the publication or advertisement is accompanied by the Pet Seller's permit number. Notwithstanding the foregoing, a Pet Seller in operation on or before the effective date of this Local Law who has filed an application for an initial permit may publish or advertise the sale or availability of any dog or cat without the publication or advertisement being accompanied by the Pet Seller's permit number until the Commissioner grants, or, after notice and opportunity to be heard, declines to grant such permit.
7. Pet Sellers shall conspicuously display their permits on the premises where the animals are kept for sale so that they can be seen by potential consumers.

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SECTION 5. PERMIT REFUSAL, SUSPENSION, OR REVOCATION.

1. Convictions. The Commissioner shall not issue or renew, and shall suspend or revoke a Pet Seller permit issued pursuant to section 4 of this Local Law based on a conviction of a violation of any provision of Article Twenty-Six of the Agriculture and Markets Law or regulations promulgated thereunder pertaining to the humane treatment of animals, cruelty to animals, endangering the life or welfare of an animal, or violation of federal, state or local law pertaining to the care, treatment, sale, possession, or handling of animals or any regulation or rule promulgated pursuant thereto relating to the endangerment of the life or health of an animal.
2. Outstanding fines or penalties. The Commissioner shall not issue or renew a permit unless there are no unpaid or outstanding fines, penalties or forfeitures imposed by the Commissioner for violations of this Local Law.
3. Outstanding uncorrected violations. The Commissioner shall not issue a new permit to any Pet Seller which has outstanding uncorrected violations of this Local Law.
4. The Commissioner may decline to grant or renew, or may suspend or revoke a Pet Seller permit based on the following grounds:
 - (a) Material misstatement in the permit application, or
 - (b) Material misstatement in or falsification of records required to be kept pursuant to this Local Law, or under any regulation promulgated thereunder, or
 - (c) Failure to allow the Commissioner or his or her authorized agents to inspect records or Pet Seller facilities.
5. The acceptance of an application for a new permit shall not prevent the Commissioner from taking any action that he or she deems necessary, including but not limited to, denial of a permit if an investigation or pre-permit inspection discloses conditions or circumstances indicating that a new permit should not be issued.
6. Any Pet Seller who is found to be in violation of this Local Law may have their Pet Seller permit suspended for up to three (3) months for a first offense; up to six (6) months for a second offense within a two year period; or revoked for a third offense within a two year period. Before any Pet Seller permit shall be suspended or revoked, the Commissioner, or any hearing officer he or she may designate, shall

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hold a hearing upon due notice to the permit holder in accordance with regulations promulgated by the Department. Any such violator may also be required to complete a training program designated by the Department prior to reinstatement of such license.

7. Any action of the Commissioner may be subject to judicial review in a proceeding under Article Seventy-Eight of the Civil Practice Law and Rules.

SECTION 6. ENFORCEMENT.

The provisions of this Local Law may be enforced concurrently by the Department and by any duly incorporated animal welfare organization to which the County has delegated the Commissioner's inspection authority.

SECTION 7. INSPECTION OF PET SELLERS.

1. The Commissioner or his or her authorized agents shall make inspections of Pet Sellers' facilities at his or her discretion to ensure compliance with the provisions of this Local Law.
2. The Commissioner may, pursuant to an agreement entered into with a duly incorporated not for profit animal welfare organization, delegate to such organization the authority to conduct inspections of Pet Sellers and to respond to complaints concerning Pet Sellers made to the Commissioner.
3. Any person conducting an inspection of a Pet Seller or responding to a complaint concerning a Pet Seller shall be specifically trained in the proper care of cats and dogs and in the investigation and identification of animal cruelty. The Commissioner may promulgate regulations governing the form and content of such training.

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SECTION 8. PET SELLERS – MINIMUM STANDARDS OF CARE.

1. Pet Sellers shall comply with the following minimum standards of care for every dog or cat in their custody or possession.

(a) Housing.

(1) Except where dogs or cats are permitted to roam freely inside a Pet Seller's residential premises, animals shall be housed in primary enclosures or cages that are structurally sound and maintained in good repair so as to prevent the dog or cat from escaping from the enclosure and protect it from injury. Surfaces shall be impervious and not permit absorption of fluids and allow thorough and repeated cleaning and disinfection without deteriorating or retaining odors.

(2) Primary enclosures or cages housing animals shall provide sufficient space to allow each animal adequate freedom of movement to make normal postural adjustments including the ability to stand up, turn around, and lie down with its limbs outstretched. If the flooring is constructed of metal strands, such strands must be greater than one-eighth inch in diameter (nine gauge) and be coated with a material such as plastic or fiberglass, and shall be constructed so as not to allow passage of any part of an animal's foot through any opening on the floor of the enclosure. The flooring must be in good repair and must not sag or bend between structural supports.

(3) Housing facilities shall be adequately ventilated at all times to provide for the health and well-being of the animal. Ventilation shall be provided by natural or mechanical means, such as windows, vents, fans or air conditioners. Ventilation shall be established to minimize drafts, odors, ammonia levels and moisture condensation.

(4) The temperature surrounding the animal shall be compatible with its health and well-being. Temperature shall be regulated by heating and cooling to sufficiently protect each animal from extremes of temperature and humidity and the ambient temperature shall not fall below 50 degrees Fahrenheit or rise above 85 degrees Fahrenheit. Shade from sunlight shall be provided by natural or artificial means.

(5) Indoor facilities housing animals shall have adequate lighting sufficient to permit routine inspection and cleaning and arranged so that each animal is protected from

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excessive illumination, which may pose a health hazard to the animal. Animal areas must be provided with regular diurnal light cycle of either natural or artificial light.

(6) The indoor and outdoor facilities housing the dog or cat, including the primary enclosure or cage shall be kept in a clean and sanitary condition in order to provide animals with a safe and healthy living environment. They shall be designed to allow for efficient elimination of waste and water in order to keep the animal dry and prevent it from coming into contact with these substances. If drains are used they shall be constructed in a manner to minimize foul odors and backup of sewage. If a drainage system is used it shall comply with federal state, and local laws relating to pollution control.

(7) In the event that a Pet Seller has a pregnant or nursing dog on his or her premises, the Pet Seller shall provide a whelping box for such dog. Each nursing dog shall be provided with an additional amount of floor space, based on her breed and behavioral characteristics in accordance with generally accepted husbandry practices as determined by a licensed veterinarian. If the amount of floor space is less than 5% of the minimum space requirement for the nursing dog, the amount of floor space must be approved in writing by a licensed veterinarian and kept in the Pet Seller's records.

(8) Pet Sellers shall designate and provide an isolation area for animals that exhibit symptoms of or are known to be harboring a contagious disease or illness. This designated area must be in a location that prevents or reduces the spread of disease or illness to healthy animals and must otherwise meet all housing requirements of this section.

(b) Sanitation.

(1) Housing facilities and primary enclosures contained within those facilities shall be kept in a clean and sanitary condition in order to maintain a safe and healthy environment for the animal. This shall include removing and destroying, where appropriate, any agents injurious to the animals and periodic cleanings.

(2) Primary enclosures must be cleaned daily and sanitized at least once every two weeks using one of the following methods:

(a) Live steam under pressure;

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(b) Washing with water with a temperature of at least 180 degrees Fahrenheit and soap or detergent;

(c) Washing all soiled surfaces with appropriate detergent solutions and disinfectant or by using a combination detergent or disinfectant product that accomplishes the same purpose with a thorough cleaning of the surfaces to remove excreta, feces, hair, dirt, debris and food waste so as to remove all organic and mineral buildup and to provide sanitization, followed by a clean water rinse.

(3) Under no circumstances shall a dog or cat remain inside the primary enclosure or cage while it is being cleaned with live steam, sterilizing agents or agents toxic to the animal, or cleaned in a manner likely to threaten the health and safety of the animal. Trash and waste products on the premises shall be properly contained and disposed of so as to minimize the risks of disease, contamination and vermin.

(c) Feeding and Watering.

(1) Animals shall be provided with wholesome and palatable food, free from contamination and of nutritional value sufficient to maintain each animal in good health.

(2) Dogs and cats shall be adequately fed at intervals not to exceed twelve hours or at least twice in any twenty-four hour period in quantities appropriate for the animal species and age, unless determined otherwise by and under the direct supervision of a duly licensed veterinarian.

(3) Sanitary food receptacles shall be provided in sufficient number, of adequate size, and located so as to enable each animal on the cage or primary enclosure to be supplied with an adequate amount of food.

(4) Animals shall be provided with constant access to clean, fresh water, supplied in a sanitary manner sufficient for its needs, except where there are instructions from a duly licensed veterinarian to withhold water for medical reasons.

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(d) Handling.

Each dog or cat shall be handled in a humane manner so as not to cause the animal physical injury, harm, or undue stress

(e) Veterinary Care.

(1) Any Pet Seller operating pursuant to this Local Law shall designate an attending veterinarian, who shall provide veterinary care to the Pet Seller's animals which shall include a written program of veterinary care and regular visits to the Pet Seller's premises. Such program of veterinary care shall include:

(a) The availability of appropriate facilities, personnel, equipment and services to comply with the provisions of the Local Law;

(b) The use of methods determined to be appropriate by the attending veterinarian to prevent, control, and respond to diseases and injuries, and the availability of emergency, weekend, and holiday care;

(c) Daily observation of all animals to assess their health and well-being, provided however, that daily observation of animals may be accomplished by someone other than the attending veterinarian who has received the guidance identified in subparagraph 6 of this paragraph; and provided, further, that a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior and well-being is conveyed to the attending veterinarian;

(d) Adequate guidance to personnel involved in the care of animals regarding handling and immobilization;

(e) Pre-procedural and post-procedural care in accordance with established veterinary medical and nursing procedures; and

(f) In the case of Dog Source Breeders who sell or offer to sell nine (9) or more dogs or cats per year to the public for profit that are born and raised on the Dog Source Breeder's residential premises, annual veterinary examinations, at a minimum, for all intact adult dogs or cats on such Dog Source Breeder's premises.

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(2) All dogs and cats shall be inoculated as required by state or local law. Veterinary care appropriate to the species shall be provided as necessary and without undue delay. Each animal shall be observed each day by the Pet Seller or by a person working under the Pet Seller's supervision.

(3) Within five (5) business days of receipt, but prior to the sale of any dog, the Pet Seller shall have a duly licensed veterinarian conduct an examination and tests appropriate to the age and breed to determine if the animal has any medical conditions apparent at the time of the examination that adversely affects the health of the animal. For animals eighteen (18) months of age or older, such examination shall include diagnosis of any congenital conditions that adversely affect the health of the animal. Any animal diagnosed with a contagious disease shall be treated and caged separately from other animals in the isolation area required in paragraph (a) (8) of subdivision one of this section.

(4) If an animal suffers from a congenital or hereditary condition, disease or illness which, in the professional opinion of the Pet Seller's veterinarian, requires euthanasia, the veterinarian shall humanely euthanize the animal without undue delay.

(5) In the event that an animal is returned to a Pet Seller due to a congenital or hereditary condition, illness, or disease requiring veterinary care, the Pet Seller shall, without undue delay, provide the animal with proper veterinary care.

(f) Humane Euthanasia.

Humane euthanasia of an animal shall be carried out only by a veterinarian duly licensed in the State of New York and in accordance with section three hundred seventy-four of the New York State Agriculture and Markets Law.

(g) Exercise Requirements.

Pet Sellers shall develop, maintain, document and implement an appropriate plan to provide animals with the opportunity for daily exercise. In developing such plan, consideration should be given to providing positive physical contact with people that encourages exercise through play or other similar activities. Such written plan shall be approved by the attending veterinarian and shall be provided to the Department annually and in accordance with any regulations it may promulgate.

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(h) Fire Safety.

All Pet Sellers' premises must be equipped with a smoke alarm that operates properly and must have a means of fire suppression, such as fire extinguishers on the premises and in good repair. Pet Sellers, except those who maintain dogs or cats solely on residential premises, must also have an automatic means of fire suppression, such as a sprinkler system, on the premises and in good repair.

(i) Grooming

All dogs and cats shall be groomed regularly to prevent excessive matting of fur, overgrown toe nails and flea and tick infestation.

SECTION 9. PET SELLERS – CONSUMER PROTECTION REQUIREMENTS.

1. Sale of Animals: Unfit for purchase provisions.

(a) If, within fourteen (14) business days following the sale of an animal subject to this Local Law or receipt of the information statement required by subdivision two of this section, whichever occurred last, a veterinarian of the Consumer's choosing, licensed by a state, certifies such animal to be unfit for purchase due to illness, congenital malformation which adversely affects the health of the animal, or the presence of symptoms of a contagious or infectious disease, or if, within one hundred-eighty (180) calendar days following such sale or receipt, whichever occurred last, a veterinarian of the Consumer's choosing, licensed by a state, certifies such animal to be unfit for purchase due to a congenital malformation which adversely affects the health of the animal, the Pet Seller shall afford the Consumer the right to choose one of the following options:

(1) The right to return the animal and receive a refund of the purchase price including sales tax and reasonable veterinary costs directly related to the veterinarian's certification that the animal is unfit for purchase pursuant to this section;

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(2) The right to return the animal and to receive an exchange animal of the Consumer's choice of equivalent value, and reasonable veterinary costs directly related to the veterinarian's certification that the animal is unfit for purchase pursuant to this section; or

(3) The right to retain the animal and to receive reimbursement from the Pet Seller for veterinary services from a licensed veterinarian of the Consumer's choosing, for the purpose of curing or attempting to cure the animal. The reasonable value of reimbursable services rendered to cure or attempt to cure the animal shall not exceed the purchase price of the animal. The value of such services is reasonably comparable to the value of similar services rendered by other licensed veterinarians in proximity to the treating veterinarian. Such reimbursement shall not include the costs of initial veterinary examination fees and diagnostic fees not directly related to the veterinarian's certification that the animal is unfit for purchase pursuant to this section.

(b) No Pet Seller shall knowingly sell an animal that has a diagnosed congenital condition or contagious disease that adversely affects the health of the animal without first informing the Consumer, in writing, of such condition.

(c) The Commissioner shall promulgate regulations that prescribe a form for and the content of, the certification that an animal is unfit for purchase, which shall be provided by an examining veterinarian to a Consumer upon the examination of an animal which is subject to the provisions of this section. Such form shall include, but not be limited to: information which identifies the type of animal, the owner, the date and diagnosis of the animal, the treatment recommended, if any, and an estimate or the actual cost of such treatment. Such form shall also include the information statement prescribed by subdivision two of this section

(d) The Commissioner shall promulgate regulations that prescribe information which shall be provided in writing by the Pet Seller to the Consumer upon the sale of the animal. Such information shall include, but not be limited to: a description, including breed of the animal, the date of purchase, the name, address and telephone number of the Consumer, and the amount of the purchase. The Pet Seller shall certify such information by signing the document in which it is contained.

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(e) The refund and/or reimbursement required by paragraph (a) of subdivision one of this section shall be made by the Pet Seller no later than ten (10) business days following receipt of a signed veterinary certification herein required. Such certification shall be presented to the Pet Seller no later than three business days following receipt thereof by the Consumer

(f) Every Pet Seller who sells an animal to a Consumer that requires vaccination against rabies pursuant to Public Health Law Section 2141, shall provide the Consumer at point of sale with a written notice provided by the Department summarizing rabies immunization requirements.

(g) A veterinary finding of intestinal parasites shall not be grounds for declaring an animal unfit for sale unless the animal is clinically ill due to such condition. An animal may not be found unfit for sale on account of an injury sustained or illness contracted subsequent to the Consumer taking possession thereof.

(h) In the event that a Pet Seller wishes to contest a demand for refund, exchange, or reimbursement made by a Consumer pursuant to this section, such seller shall have the right to require the Consumer to produce the animal for examination by a licensed veterinarian designated by such Pet Seller. Upon such examination, if the Consumer and the Pet Seller are unable to reach an agreement which constitutes one of the options set forth in paragraph (a) of subdivision one of this section within ten (10) business days following receipt of the animal for such examination, the Consumer may initiate an action in a court of competent jurisdiction to recover or obtain such refund, exchange or reimbursement.

(i) Nothing in this section shall in any way limit the rights or remedies which are otherwise available to a Consumer under any other law.

2. Information statement to Consumer.

(a) Every Pet Seller shall deliver to the Consumer at the time of sale, a written statement in a standardized form prescribed by the Commissioner containing the following information:

(1) For Cats:

(a) The breeder's and, if applicable, broker's name and address, if known, or if not known, the source of the cat. If the person from whom the cat was obtained is a

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dealer licensed by the United States Department of Agriculture, the person's name, address and federal identification number;

(b) The date of the cat's birth, unless unknown because of the source of the cat, the date the Pet Seller received the cat, and the location where the cat was received;

(c) A record of any immunizations and worming treatments administered to the cat while the cat was in the possession of the Pet Seller, as of the time of sale, including dates of administration and the types of vaccines or worming treatments administered;

(d) A record of any known disease, sickness or congenital condition that adversely affects the health of the cat at the time of sale;

(e) A record of any veterinary treatment or medication received by the cat while in the possession of the Pet Seller and either of the following:

(1) A statement signed by the Pet Seller at the time of sale indicating all of the following: 1) The cat has no known disease or illness; 2) The cat has no known congenital or hereditary condition that adversely affects the health of the cat at the time of sale; or

(2) A record of any known congenital or hereditary condition, disease or illness that adversely affects the health of the cat at the time of sale, along with a statement signed by a licensed veterinarian that authorizes the sale of the cat, recommends necessary treatment, if any, and verifies that the condition, disease or illness does not require hospitalization or non-elective surgical procedures and is not likely to require hospitalization or non-elective surgical procedures in the future. A veterinarian statement is not required for intestinal or external parasites unless their presence makes the cat clinically ill or is likely to make the cat clinically ill. The statement should be valid for fourteen (14) business days following examination of the cat by the veterinarian.

(2) For dogs:

(a) The breeder's and, if applicable, broker's name and address, if known, and if not known, the source of the dog. If the person from whom the dog was obtained is a dealer licensed by the United States Department of Agriculture, the person's name, address and federal identification number;

(b) The date of the dog's birth and the date and location where the Pet Seller received the dog. If the dog is not advertised or sold as a purebred, registered or registerable, the date of birth may be approximated if not known by the Pet Seller;

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- (c) The breed, sex, color and identifying marks at the time of sale. If the dog is from a United States Department of Agriculture licensed source, the individual identifying tag, tattoo or collar number for that animal. If the breed is unknown or mixed, the record shall so indicate. If the dog is being sold as being capable of registration, the names and registration numbers of the sire and dam, and the litter number, if known;
- (d) A record of any inoculations and worming treatments administered to the dog while the dog was in the possession of the Pet Seller, as of the time of sale, including dates of administration and the type of vaccines and/or worming treatments administered;
- (e) A record of any veterinary treatment or medication received by the dog while in the possession of the Pet Seller and either of the following:
- (1) A statement, signed by the Pet Seller at the time of sale, indicating all of the following: 1) The dog has no known disease or illness; 2) The dog has no known congenital or hereditary condition that adversely affects the health of the dog at the time of sale; or
- (2) A record of any known congenital or hereditary condition, disease or illness that adversely affects the health of the dog at the time of sale, along with a statement signed by a licensed veterinarian that authorizes the sale of the dog, recommends necessary treatment, if any, and verifies that the condition, disease, or illness does not require hospitalization or non-elective surgical procedures and is not likely to require hospitalization or non-elective surgical procedures in the future. A veterinarian statement is not required for intestinal or external parasites unless their presence makes the dog clinically ill or is likely to make the dog clinically ill. The statement shall be valid for fourteen (14) business days following examination of the dog by the veterinarian.
- (f) Notification that dogs residing in New York State must be licensed, and that a license may be obtained from the municipality in which the Consumer resides.
- (g) A disclosure made pursuant to subparagraph (1) or (2) of this section shall be signed by both the Pet Seller certifying the accuracy of the statement, and the Consumer acknowledging receipt of the statement. At the time of sale, each Pet Seller shall provide the Consumer with information on the value of spaying and neutering of dogs and cats.

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(h) Every Pet Seller shall post conspicuously within close proximity to the primary enclosures of dogs and cats offered for sale, a notice containing the following language in one hundred point type:

“Information on the source of these dogs and cats and the veterinary treatment received by these dogs and cats is available for review by prospective consumers.”

3. Animal Pedigree Registration.

(a) Representation regarding animal's pedigree registration: Any Pet Seller who states, promises, or represents that an animal is registered or is capable of registration with an animal pedigree registry organization shall provide the Consumer with the appropriate documents necessary for such registration within one hundred twenty (120) days following sale of the animal. If the Consumer notifies the Pet Seller in writing on or before such time that he or she has not received the appropriate registration documents, the Pet Seller shall have, in addition to the one hundred twenty days, sixty (60) more days to provide the appropriate documents.

(1) If a Pet Seller fails to provide documents as required under paragraph (a) of this section, the Consumer, upon written notice to the Pet Seller, may keep the animal and receive a partial refund of seventy-five percent of the purchase price, in which event the Pet Seller shall not be required to provide registration documents. Acceptance by the Consumer of appropriate registration documents, whether or not within the times periods set forth in paragraph (a) of this section, shall be deemed a waiver of the right to a partial refund pursuant to this subdivision.

(b) Registration notice-disclosure statement.

(1) A Pet Seller that sells animals registered or registerable with a pedigree registry shall post conspicuously within close proximity to those animals a notice that states: “Pedigree registration means that the particular registry maintains information on the parentage and identity of the animal.”

(2) For every animal sold by a Pet Seller with the representation that the animal is registered or able to be registered with an animal pedigree registry organization, the following fully completed disclosure shall be made by the Pet Seller in writing on a sheet separate from any other statement in substantially the following form:

"Disclosure of animal pedigree registration: Description of animal; The animal you are purchasing is registered/qualified to be registered (circle one) with the (enter

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name of registry). Registration means that (enter name of registry) maintains information regarding parentage and identity of this animal. Persons buying animals represented by a Pet Seller as being qualified to be registered are entitled to papers necessary to affect such registration within one hundred twenty (120) days of purchase. Failure to provide such papers entitles the Consumer to remedies under law. However, if the Consumer notifies the Pet Seller within the one hundred twenty (120) day period that he or she has not received such papers, the Pet Seller shall have an additional sixty (60) days commencing at the end of the one hundred twenty (120) day period in which to provide the documents. Acknowledged: Date: Consumer's Signature."

(3) The disclosure shall be signed and dated by the Consumer, acknowledging receipt of a copy of the statement. The Pet Seller shall retain a copy of the signed disclosure.

SECTION 10. RECORDS OF PURCHASE AND SALE.

1. Each Pet Seller shall keep and maintain records for each dog or cat purchased, acquired, held, sold or otherwise disposed of. The records shall include the following:

(a) The name and address of the person from whom each dog or cat was acquired. If the person from whom the animal was obtained is a dealer licensed by the United States Department of Agriculture, the person's name, address, and federal dealer identification number. If the person is a pet dealer licensed by New York State pursuant to Article 26-A of the Agriculture and Markets Law, the dealer's name, address and state dealer identification number. If the person is a Pet Seller licensed by this act, the Pet Seller's name, address and permit number. If the person is a breeder, the breeder's name, address, and, if applicable, USDA license number; and

(b) In the case of cats, if a cat is placed in the custody or possession of a Pet Seller and the source of origin is unknown, the Pet Seller shall state the source of origin is unknown, accompanied by the date, time, and location of receipt; and

(c) The original source of the animal if different than the person recorded in subdivision one of this section; and

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(d) The date each dog or cat was acquired; and

(e) A description of each animal showing age, color, markings, sex, breed, and any inoculation, worming, or other veterinary treatment or medication information available. Records shall also include any other significant identification, if known, for each animal, including official tag number, tattoo or (implant) microchip; and

(f) The name and address of the person to whom the animal is sold, given or bartered or to whom it is otherwise transferred or delivered. The records shall indicate the date and method of disposition; and

(g) The Pet Seller's Ulster County permit number.

2. Notwithstanding the provisions of subdivision one of this section, no Pet Seller shall knowingly buy, sell, exhibit, transport or offer for sale, exhibition or transportation, any stolen dog or cat. No Pet Seller shall knowingly sell any dog or cat younger than eight (8) weeks of age.

3. Prior to the sale, exchange, or other transfer of ownership of a dog or cat, a Pet Seller is required to examine the Ulster County Animal Abuse Registry to confirm that the potential owner is not a registered animal abuser. If a Pet Seller determines that an individual is a registered animal abuser, the Pet Seller shall not sell, exchange or otherwise transfer ownership of a dog or cat to such individual.

4. Records for each animal shall be maintained for a period of two (2) years from the date of sale or transfer, whichever occurs later. During normal business hours, the records shall be made available to persons authorized by law to enforce the provisions of this Local Law.

SECTION 11. CERTIFICATION BY DOG DEALERS AND SOURCE BREEDERS REQUIRED.

1. It shall be unlawful for any Pet Seller in Ulster County to knowingly import, offer for sale, sell or barter any dog from a Dog Source Breeder that does not comply with the standards of care enumerated in this Local Law.

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2. Dog Dealers and Dog Source Breeders who supply dogs directly to Pet Sellers shall provide a written certification to such Pet Sellers that the dogs it provides to them have been obtained from Dog Source Breeders where they have been raised and maintained in a "safe and healthy manner" as prescribed by section nine of this Local Law.

3. The Commissioner shall establish a written form for the certification required by this section, which will, at a minimum, include the name, legal address, contact information for the Dog Dealer if applicable, and Dog Source Breeder, along with the requirement for a sworn statement attesting that the Dog Source Breeder is in compliance with the standards prescribed in section twelve of this Local Law.

4. Pet Sellers shall provide Consumers with a copy of this certification at the point of sale and shall file the written certification required by this section with the Commissioner on a semi-annual basis and in accordance with any rules promulgated by the Commissioner.

SECTION 12. DOG SOURCE BREEDERS: MINIMUM STANDARDS OF CARE.

1. Food and Water.

(a) All dogs must be provided with adequate food that is clean and free from contaminants at intervals not to exceed twelve hours or at least twice in any twenty-four (24) hour period unless directed otherwise by a duly licensed veterinarian.

(b) All dogs must be provided with potable water at all times, unless directed otherwise in writing by a duly licensed veterinarian. Such special instructions concerning food or water shall be kept in the Dog Source Breeder's records for the dog.

2. Housing.

(a) Except where dogs are permitted to roam freely inside the Dog Source Breeder's residential premises, dogs shall be kept in primary enclosures that are designed and constructed to be structurally sound and that are kept in good repair.

(b) Primary enclosures for dogs shall:

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- (1) Have no sharp points or edges that could injure dogs;
- (2) Be maintained in a manner to protect dogs from injury;
- (3) If not fully enclosed on the top, be of a height sufficient to prevent the dog from climbing over the walls of the enclosure;
- (4) Keep animals from entering the enclosure;
- (5) Enable dogs to remain dry and clean;
- (6) Provide shelter and protection from temperatures and weather conditions that may be uncomfortable or hazardous to any dog;
- (7) Provide sufficient space to shelter all dogs housed in the primary enclosure at one time;
- (8) Provide potable water at all times, unless otherwise directed by a veterinarian in writing that shall be kept in the Dog Source Breeder's records;
- (9) Enable all surfaces in contact with dogs to be readily cleaned and sanitized in compliance with paragraph (d) of this subdivision 4 of this section.

3. Space.

(a) Primary enclosures shall provide enough space to allow each dog to turn about freely and to stand, sit, and lie in a normal position. All dogs in the enclosure must be able to lie down while fully extended without the dog's head, legs, face or feet touching any side of the enclosure or another dog. The interior height of a primary enclosure shall be at least six (6) inches higher than the head of the tallest dog in the enclosure when it is in a normal standing position.

(b) All dogs over twelve (12) weeks of age housed in primary enclosures must be provided with a minimum amount of floor space in the primary enclosure, calculated as follows: Find the mathematical square of the sum of the length of dog in inches, measured in a straight line from the tip of its nose to the base of its tail plus six inches, then divide the product by 144, then multiply by two. The calculation is: (length of dog in inches +6) equals required floor space in square inches. Required

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floor space in inches/144 x 2 = required floor space in square feet. For a second dog placed in the primary enclosure the minimum floor space shall be doubled. The floor space shall be calculated using the longest dog. For each dog above two, the minimum floor space shall be multiplied by 1.5 per additional dog.

(c) For dogs over twelve (12) weeks of age, primary enclosures must be placed no higher than thirty (30) inches above the floor of the housing facility and may not be placed over or stacked on top of another cage or primary enclosure

(d) For dogs twelve (12) weeks of age or younger, primary enclosures shall not be stacked more than two (2) rows high, and the bottom of the uppermost primary enclosure may not be more than four and one-half (4 ½) feet off the housing facility floor. Where the primary enclosures are stacked, a tray or other device that will prevent urine, feces, and other debris from passing into or being discharged into the underlying primary enclosure shall be placed under the primary enclosure. The trap or other device must be impermeable to water and capable of being easily sanitized.

(e) All housing facilities shall be equipped with a smoke alarm and shall have a means of fire suppression, such as fire extinguishers or a sprinkler system on premises.

4. Sanitation.

(a) Excreta, feces, hair, dirt, debris and food waste must be removed from primary enclosures at least daily or more often if necessary, to prevent an accumulation of excreta, feces, hair, dirt, debris and food waste to prevent soiling of dogs contained in the primary enclosures and to reduce the risk of disease, insects, pests and odors.

(b) Used primary enclosures and food and water receptacles for dogs must be cleaned and sanitized in accordance with paragraph (d) of this section before they can be used to house, feed or water another dog or group of dogs.

(c) Used primary enclosures and food and water receptacles must be sanitized at least once every two weeks using one of the methods prescribed in paragraph (d) of this section and more often if necessary to prevent an accumulation of excreta, feces, hair, dirt, debris, food, waste and other disease hazards.

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(d) Hard surfaces of primary enclosures and food and water receptacles must be sanitized using one of the following methods:

(1) Live steam under pressure,

(2) Washing with water with a temperature of at least 180 degrees Fahrenheit and soap or detergent, as with a mechanical cage washer; or

(3) Washing all soiled surfaces with appropriate detergent solutions and disinfectant or by using a combination detergent or disinfection product that accomplishes the same purpose with a thorough cleaning of the surfaces to remove excreta, feces, hair, dirt, debris and food waste so as to remove all organic material and mineral buildup and to provide sanitization followed by a clean water rinse.

(e) All dogs must be removed from the primary enclosure when it is being sanitized in accordance with the provisions of this subdivision.

(f) Primary enclosures, exercise areas and housing facilities using material that cannot be sanitized using methods delineated in paragraph (d) of this section must be made sanitary by removing contaminated material as necessary to prevent odors, diseases, pests, insects and vermin infestation.

(g) Premises where primary enclosures are located, including buildings and surrounding grounds, must be kept clean and in good repair to protect dogs from injury, to facilitate healthy husbandry practices, and to reduce or eliminate breeding and living areas for rodents and other pests and vermin. Premises must be kept free of accumulations of trash, junk, waste products and discarded matter. Weeds, grass and bushes must be controlled so as to facilitate cleaning of the premises and pest control and to protect the health and well-being of the dogs.

(h) An effective program for the control of insects, external parasites affecting dogs, or pests must be established and maintained so as to promote the health and well-being of the dogs and reduce contamination by pests in housing facilities.

(i) The floors and walls of primary enclosures must be impervious to moisture. The ceiling of indoor housing facilities must be impervious to moisture or be replaceable.

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5. Flooring.

(a) Flooring in all primary enclosures must be constructed in a manner that protects the dogs' feet and legs from injury. The floor must not permit any part of the foot of a dog housed in the enclosure to pass through any opening.

(b) For dogs over twelve (12) weeks of age, the floor of the primary enclosure must be strong enough so that it does not sag or bend between structural supports and so that it cannot be destroyed through digging or chewing by the dogs housed in the primary enclosure. The floor shall not permit the feet of any dog housed in the primary enclosure to pass through any opening and shall not be made of metal strand, either coated or uncoated. It must allow for moderate drainage of fluids and it must not be sloped more than 0.25 inches per foot.

(c) Flooring constructed of slats shall be acceptable provided that all of the following conditions are met:

(1) The flooring is flat;

(2) Spaces between slats run the length or width of the floor, but not both;

(3) Slats are no less than 3.5 inches in width;

(4) The floor has spaces between the slats that are no more than 0.5 inch in width; and

(5) Each slat is level with the slat next to it within a single primary enclosure.

6. Co-housing/ Bitches in Heat/Nursing puppies.

(a) All dogs housed in the same primary enclosure must be compatible as determined by observation. Not more than six (6) adult dogs may be housed in the same primary enclosure. Bitches in heat may not be housed in the same primary enclosure with sexually mature males, except for breeding. Bitches with litters may not be housed in the same primary enclosure with other adult dogs, and puppies under twelve weeks of age may not be housed in the same primary enclosure with adult dogs, other than the dam or foster dam. Dogs displaying vicious or aggressive behavior toward other dogs must be housed separately.

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(b) Each bitch with nursing puppies shall be provided with an additional amount of floor space based on her breed and behavioral characteristics and in accordance with generally accepted husbandry practices as determined by the attending veterinarian. If the additional amount of floor space for each nursing puppy is less than five (5) percent of the minimum requirement for the bitch, the amount of floor space must be approved by the attending veterinarian and shall be kept in the Dog Source Breeder's records.

7. Ventilation and Lighting.

(a) Housing facilities for dogs must be sufficiently heated and cooled to protect dogs from temperature or humidity extremes and to provide for their health and well-being. If dogs are present, the ambient temperature in the facility must not fall below 50 degrees Fahrenheit or rise above 85 degrees Fahrenheit.

(b) Housing facilities for dogs must be sufficiently ventilated at all times when dogs are present to provide for their health and well-being and to minimize odors, drafts, ammonia levels and to prevent moisture condensation. The relative humidity must be maintained at a level that ensures the health and well-being of the dogs housed therein.

(c) Housing facilities for dogs must be lighted well enough to permit routine inspection and cleaning of the facility and observation of the dogs. Animal areas must be provided with a regular diurnal light cycle of either natural or artificial light. Lighting must be uniformly diffused throughout housing facilities and provide sufficient illumination to aid in maintaining good housekeeping practices, adequate cleaning and observation of animals at any time and for the well-being of the animals. Primary enclosures must be placed so as to protect dogs from excessive light.

8. Veterinary Care and Records.

(a) The Dog Source Breeder shall establish a veterinarian/client-patient relationship.

(b) The Dog Source Breeder shall establish a written program of veterinary care which shall include a physical examination and vaccination schedule; a protocol for disease control and prevention; pest and parasite control; nutrition and euthanasia. A copy of the program shall be kept in the Dog Source Breeder's records.

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(c) Dogs shall be examined by a veterinarian at least once every six (6) months. During the examination the veterinarian shall use appropriate methods to prevent, control, diagnose and treat diseases and injuries.

(d) For each dog harbored by a Dog Source Breeder, a permanent record shall be kept and shall contain all of the following information:

(1) The date of birth of the dog;

(2) The date of the last rabies vaccination of the dog; and

(3) The date of the dog's last veterinary check.

(e) All veterinary records shall be kept for two (2) years after the dog has left the care of the facility.

(f) Notwithstanding any law, a dog may not be euthanized except by a licensed veterinarian.

9. Exercise (for dogs over twelve (12) weeks of age).

(a) Each primary enclosure housing a dog over twelve (12) weeks of age shall have an entryway that allows the dog unfettered clearance from the enclosure into an outdoor exercise area.

(b) The exercise area must be at least twice the size of each dog's primary enclosure and must have adequate means to prevent dogs from escaping.

(c) Exercise area fencing must be in good repair and be free of rust, jagged edges or other defects that could cause injury to the dogs.

(d) The exercise area must be cleaned in accordance with paragraph (f) of subdivision four of this section.

(e) Dogs must not be placed in the exercise area in a manner that would cause injury to the dog.

(f) Nursing bitches and their puppies shall be exercised separately from other dogs.

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(g) The exercise area shall be on ground level and the ground of the area must be solid and maintainable. Surfaces such as gravel, packed earth and grass which are solid and maintainable may be utilized.

(h) Forced exercise methods or devices such as swimming, treadmills or carousel type devices shall not meet the exercise requirements of this paragraph and are prohibited.

(i) If, in the opinion of a licensed veterinarian, it is inappropriate for a dog to exercise because of its health, condition or well-being, this section shall not apply to that dog. Such a determination must, however, be documented in writing by the veterinarian and shall be revisited by the attending veterinarian at least once every thirty (30) days.

SECTION 13. NOTICE TO CONSUMERS.

Every Pet Seller who sells an animal to a Consumer shall post a notice clearly visible to the Consumer and provide the Consumer at the time of sale with a written notice, printed or typed, setting forth the rights provided under this Local Law. Such notices shall be prescribed by the Commissioner, but the written notice may be contained in a written contract, an animal history certificate, or separate document, provided such notices are in ten-point boldface type. No Pet Seller shall restrict or diminish by contract or otherwise, the rights provided under this Local Law.

SECTION 14. VIOLATIONS.

1. Violation of any provision of this Local Law is a civil offense for which a fine of not less than \$150.00 and not more than \$1000.00 for each violation may be imposed.

2. In addition to the penalties enumerated in this section, whenever there is a violation of the provisions of Sections 6 (Pet Seller's – Consumer Protection Requirements) or Section 7 (Records of Purchase and Sale) of this Local Law, application may be made by the Commissioner or his designee to issue an injunction, and upon notice to the defendant of not less than five days, to enjoin and restrain the

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continuance of such violations; and if it shall appear to the satisfaction of the court or justice that the defendant has, in fact, violated these provisions, an injunction may be issued by such court or justice, enjoining and restraining any further violation, without requiring proof that any person has, in fact, been Injured or damaged thereby. In such a proceeding, the court may make allowances to the Commissioner as provided in Civil Practice Law and Rules Section 8303 (a) (6) and direct restitution.

SECTION 15. CONSTRUCTION WITH OTHER LAWS.

Nothing in this Local Law shall be construed to limit or restrict the police, agents, or officers of Societies for the Prevention of Cruelty to Animals from enforcing Article Twenty-Six of the Agriculture and Markets Law or any other law relating to the humane treatment of or cruelty to animals.

SECTION 16. SEVERABILITY.

If any clause, sentence, paragraph, section, subdivision or other part of this Local Law or its applications shall be adjudged by a Court of competent jurisdiction to be invalid or unconstitutional, such order or judgment shall not affect, impair, or otherwise invalidate the remainder of this Local Law which shall remain in full force and effect except as limited by such order or judgment.

SECTION 17 EFFECTIVE DATE.

This Local Law shall be effective as of June 1, 2016 and shall apply to all transactions occurring on or after the effective date of this Local Law.